
Model-Based Control and Virtual Sensing with Application to a Vertical Furnace

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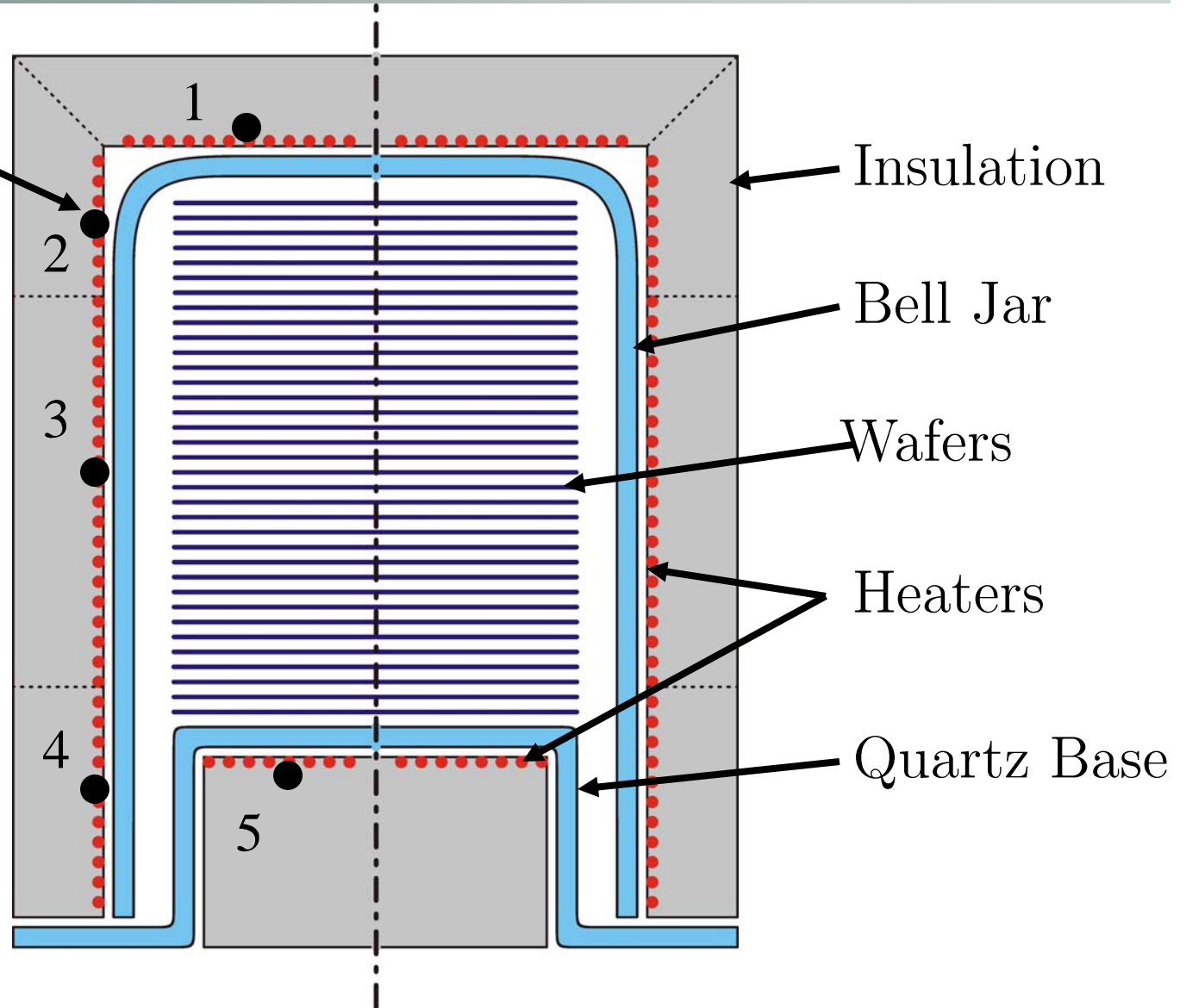
Overview

- Vertical Furnace Model**
- Closed-loop Simulation**
- Temperature Uniformity**
- Sensor Failure**
- Estimator Design**
- Failure Accommodation**
- Summary**

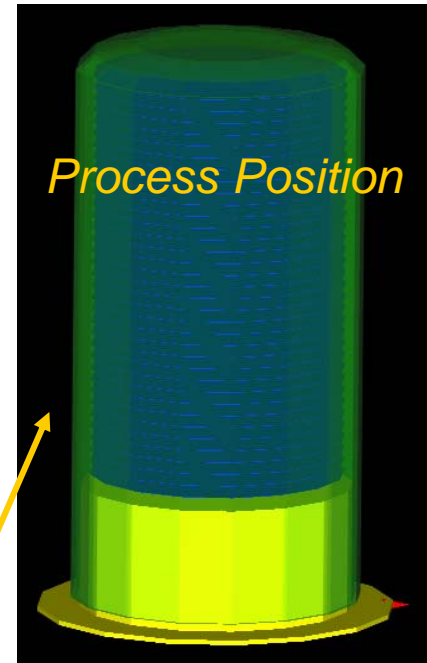
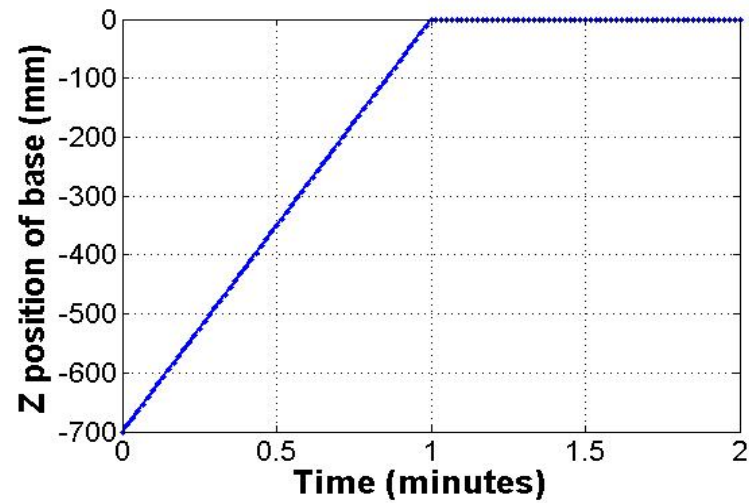
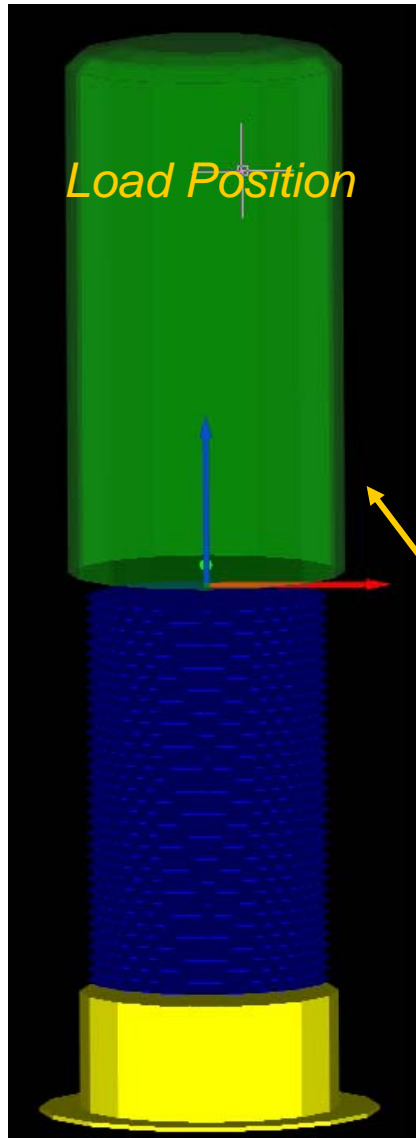
The Vertical Furnace

● 5 Sensors, y

● 5 Zones, u



Load Position vs Process Position



Elevation

Surrounding heaters and insulation not shown here.

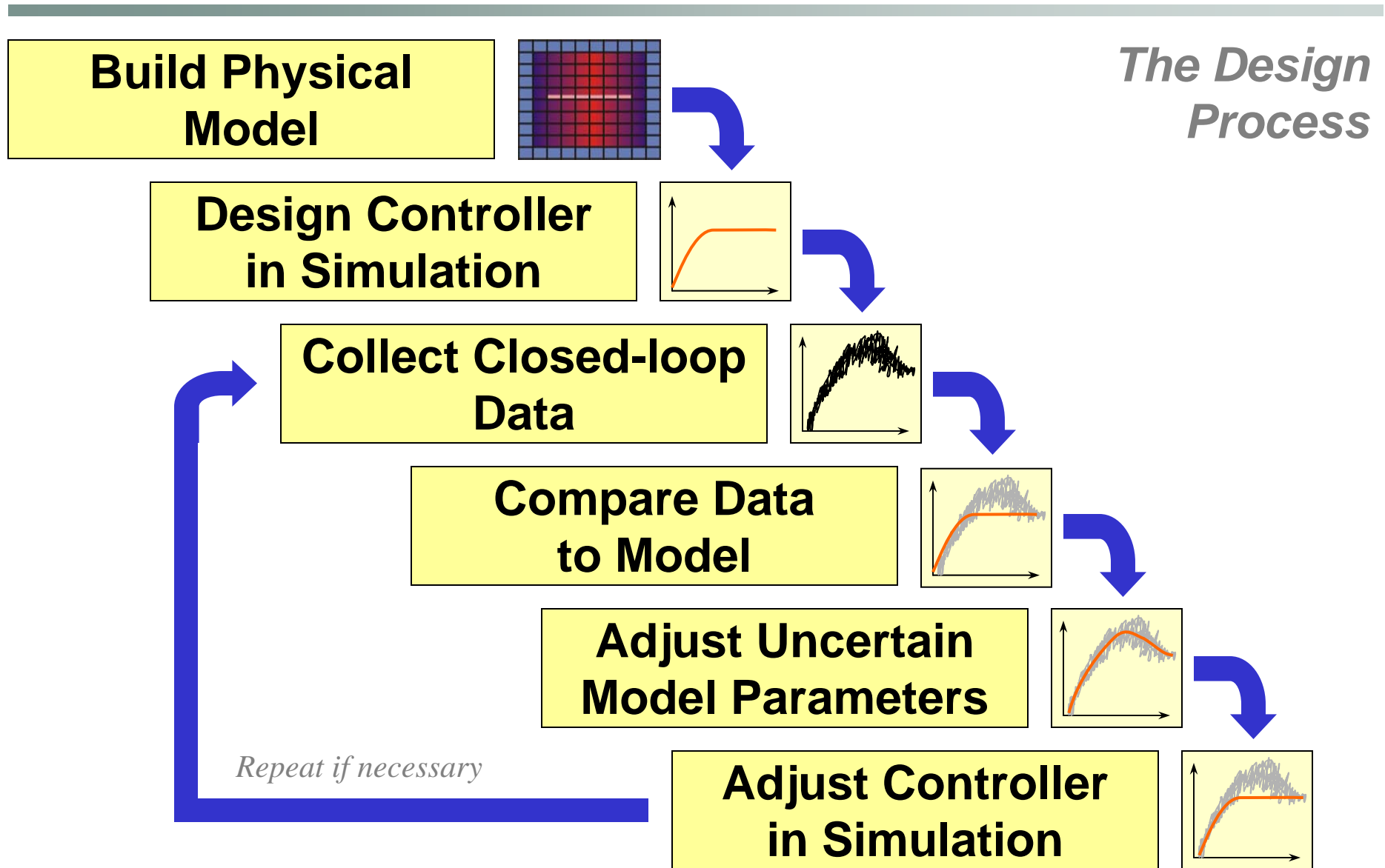
Vertical Furnace Model

- Full non-linear radiation and conduction model
- Two radiation bands (< 3.3 microns ≥ 3.3 microns)
- Temperature dependent silicon properties (IR transmission and thermal conductivity)
- Real-time wafer stack vertical position included
- Fast model suitable for real-time execution

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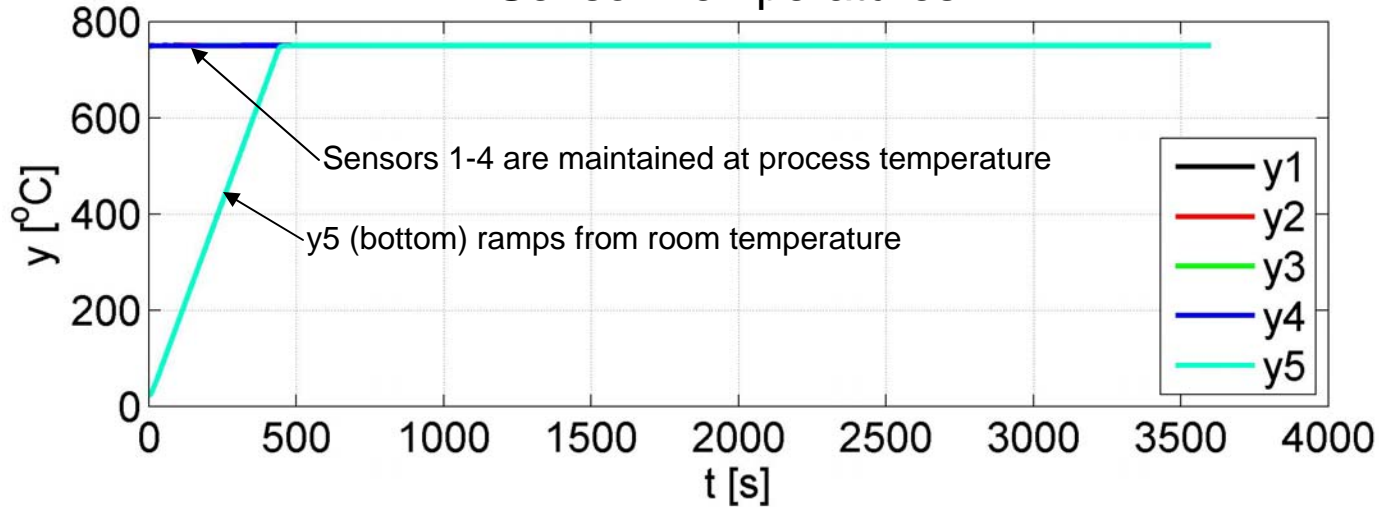
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Model-Based Control Design

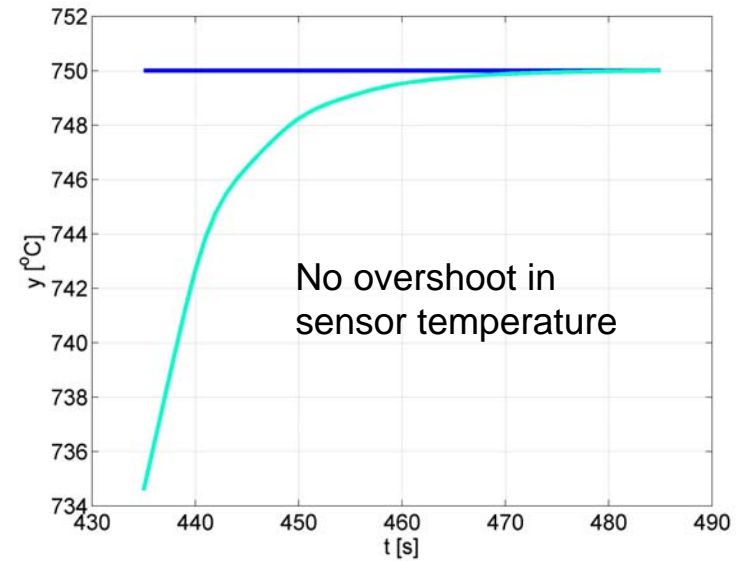
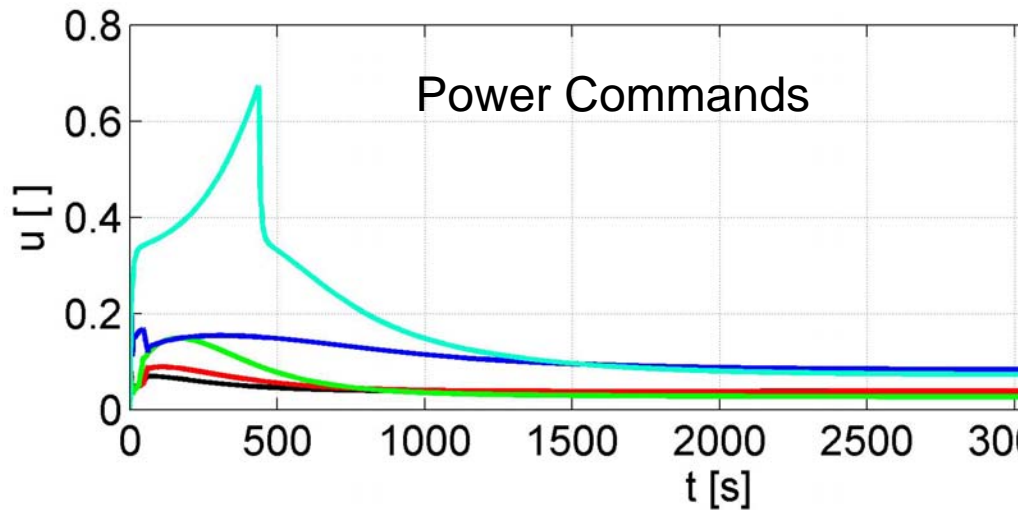
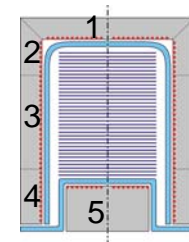


Closed-loop Simulation

Sensor Temperatures



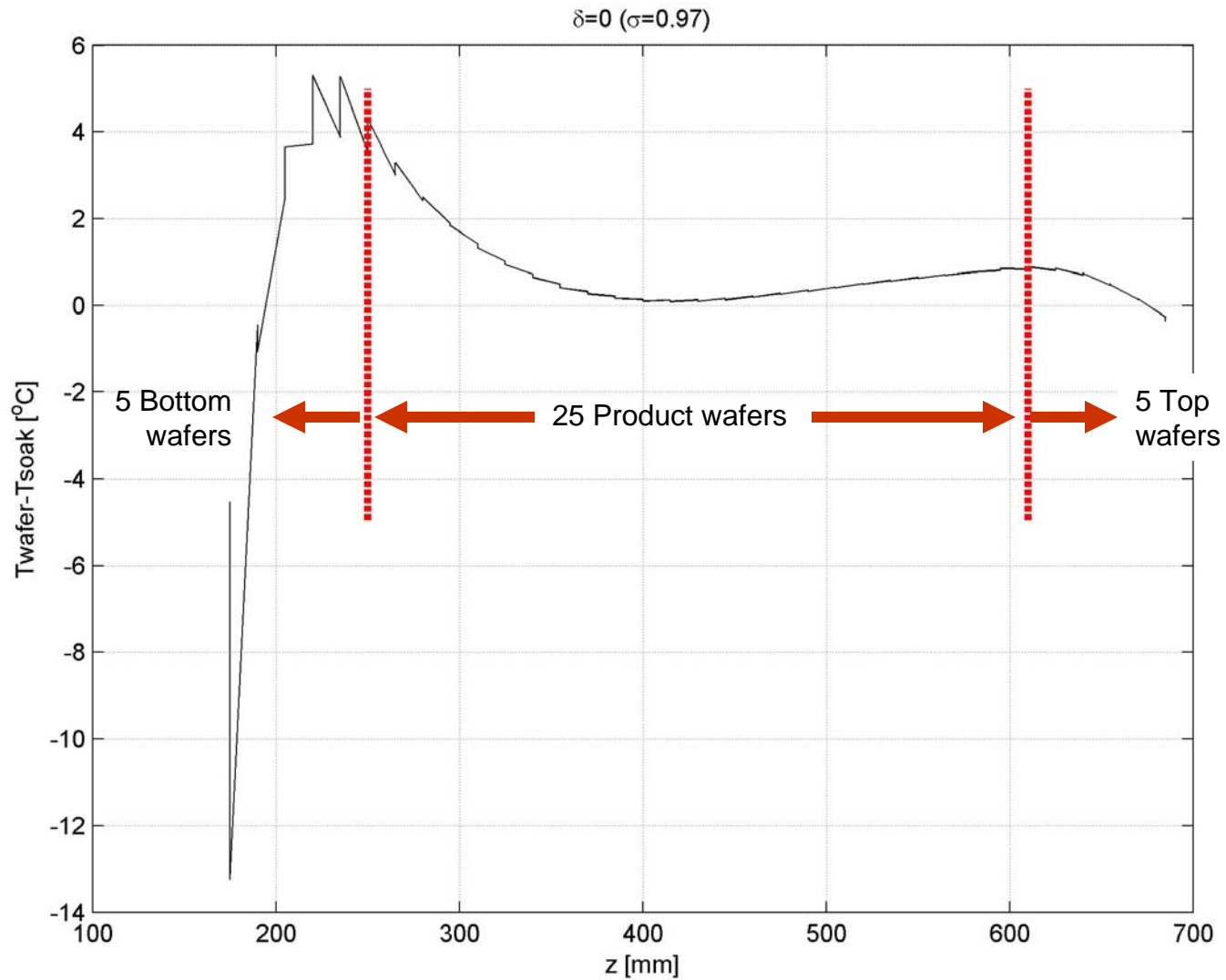
Model-based multivariable feedback controller.



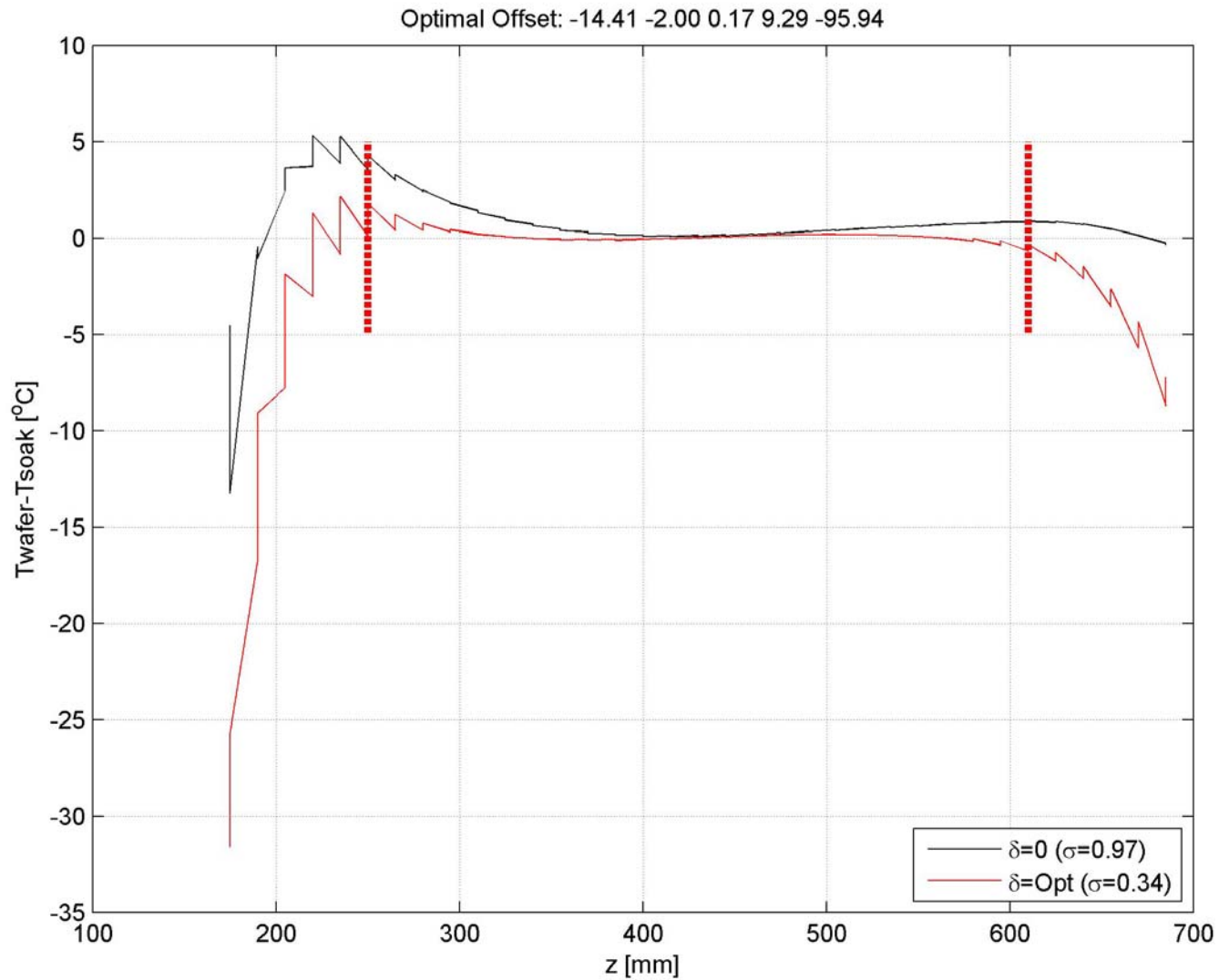
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Baseline Wafer Uniformity, No Tuning



Wafer Temperature Uniformity: Optimal



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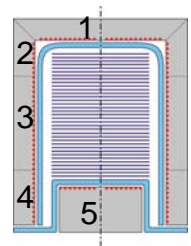
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Sensor Failure

- **What happens if a sensor fails?**
 - *Could abort, but that might ruin a batch of wafers*
 - *Could hold last good value of power, but that might be bad*
 - *Use physics-based model to build a Model-based Estimator*

Sensor Failure

- ❑ Simulate a failure where sensor 1 drops out at $t = 2000$ s



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Estimator Design - Background

A linear system with states x , inputs u , and outputs y can be written as

$$\begin{aligned}\dot{x} &= Ax + Bu + w \\ y &= Cx + Du + \nu\end{aligned}$$

where w is system noise and ν is measurement noise. An estimator can be built that tracks the output y . This estimated output is \hat{y} and depends on states \hat{x} and can be written as

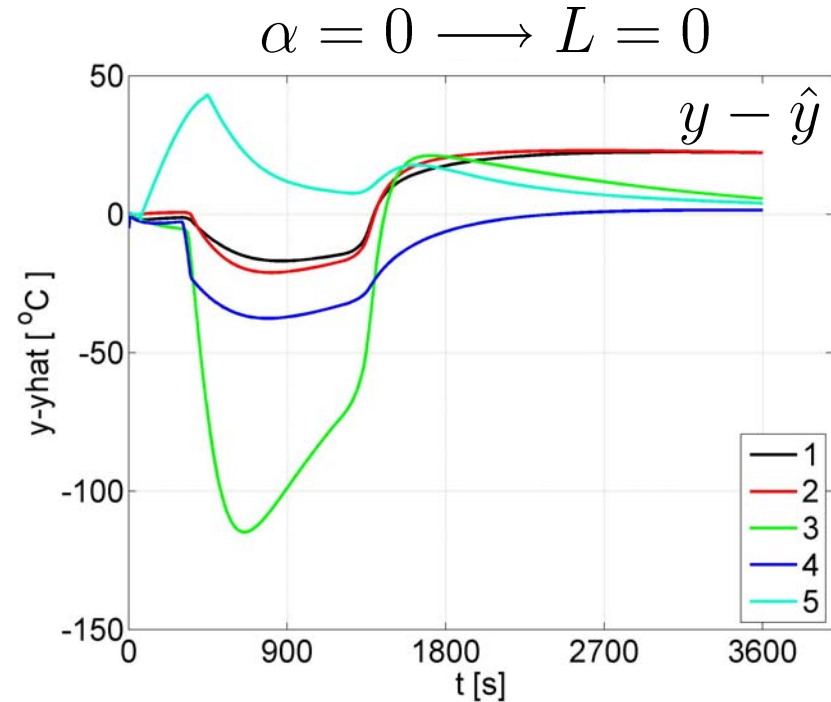
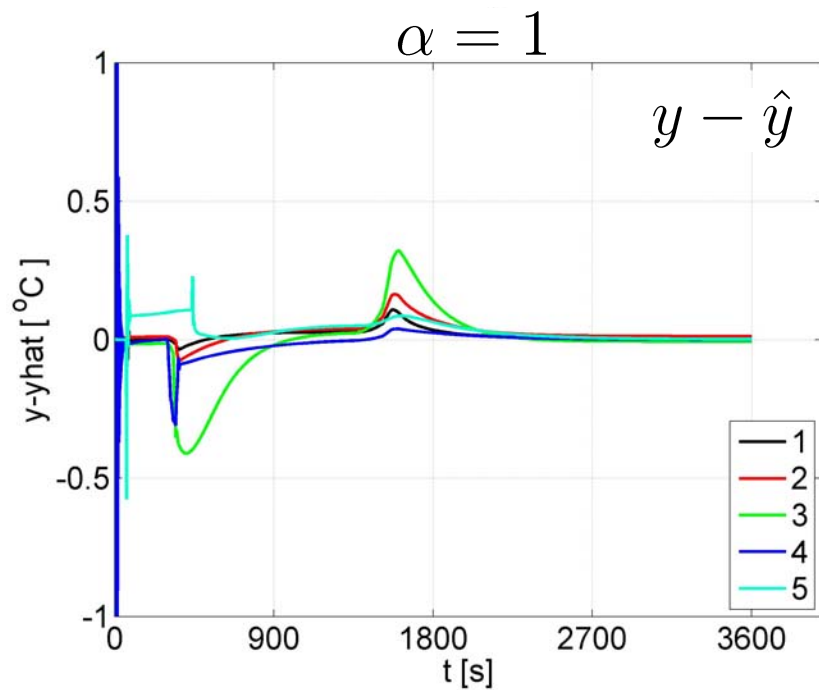
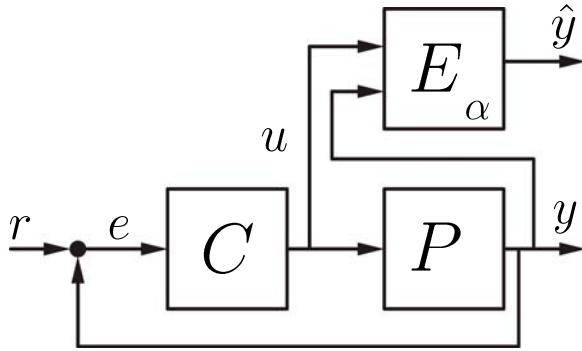
$$\begin{aligned}\dot{\hat{x}} &= A\hat{x} + Bu + L(y - \hat{y}) \\ \hat{y} &= C\hat{x} + Du\end{aligned}$$

where $L = PC^T R^{-1}$ and P satisfies the Riccati equation

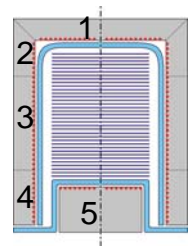
$$\dot{P} = AP + PA^T + BQB^T - PC^T R^{-1}CP$$

Here Q and R are weighting matrices we can tune to vary performance.

Estimator Design - Example



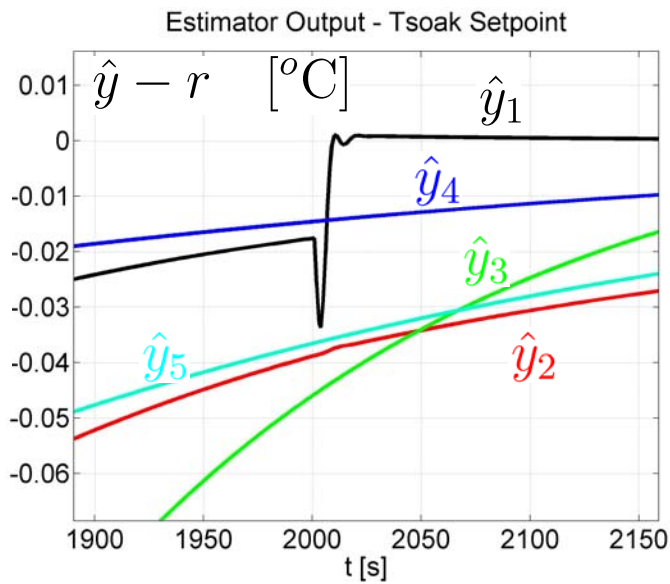
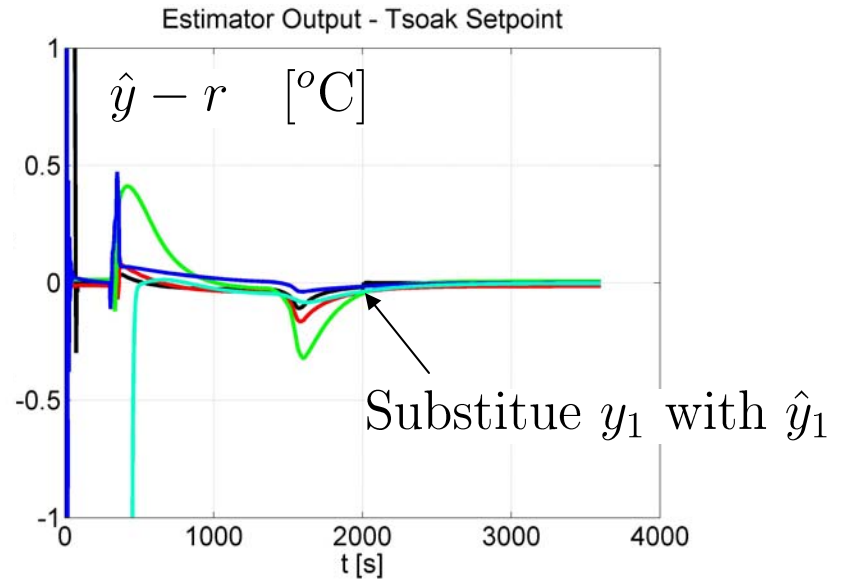
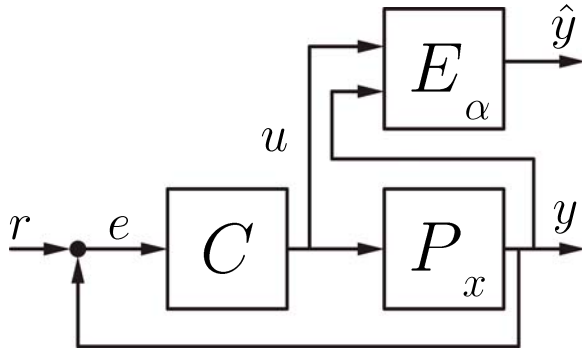
$$Q = \alpha Q_o$$



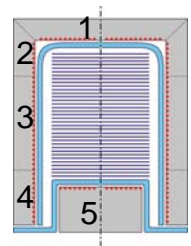
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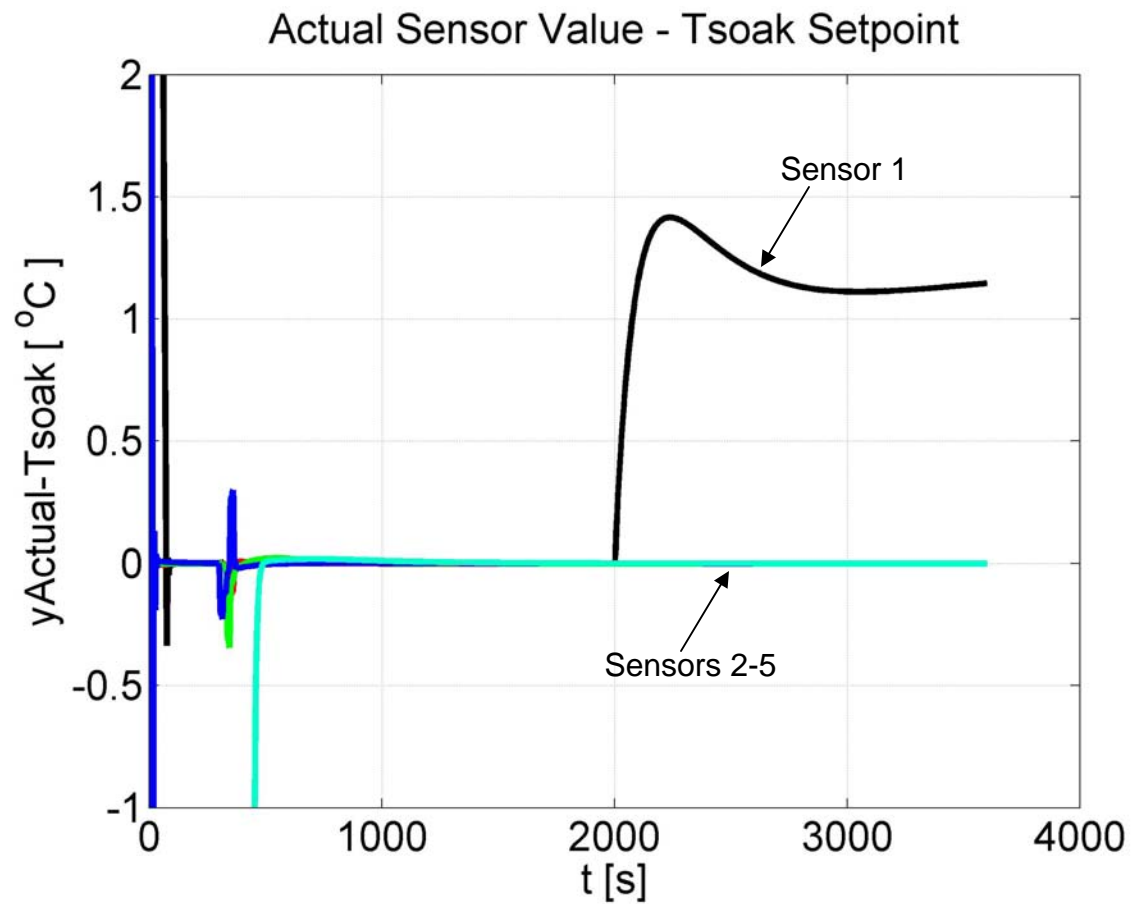
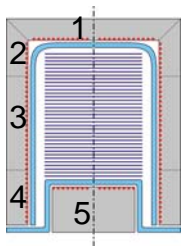
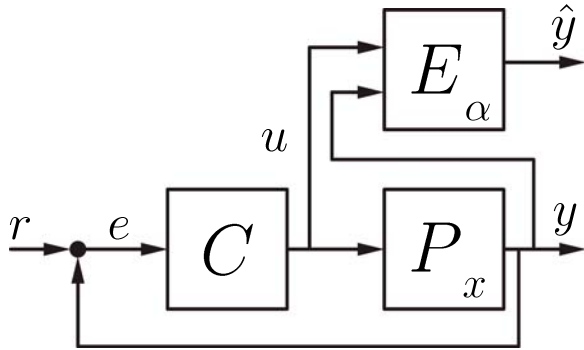
Failure Accommodation



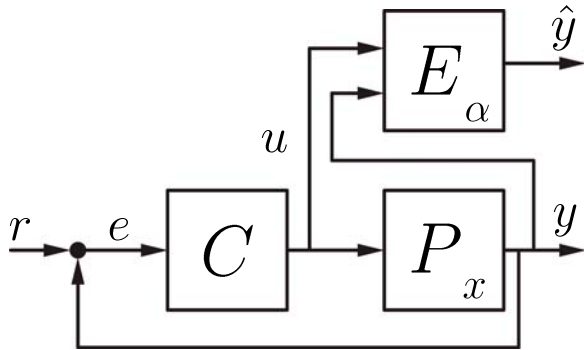
Zoom in on
 $t = 2000$ s



Failure Accommodation

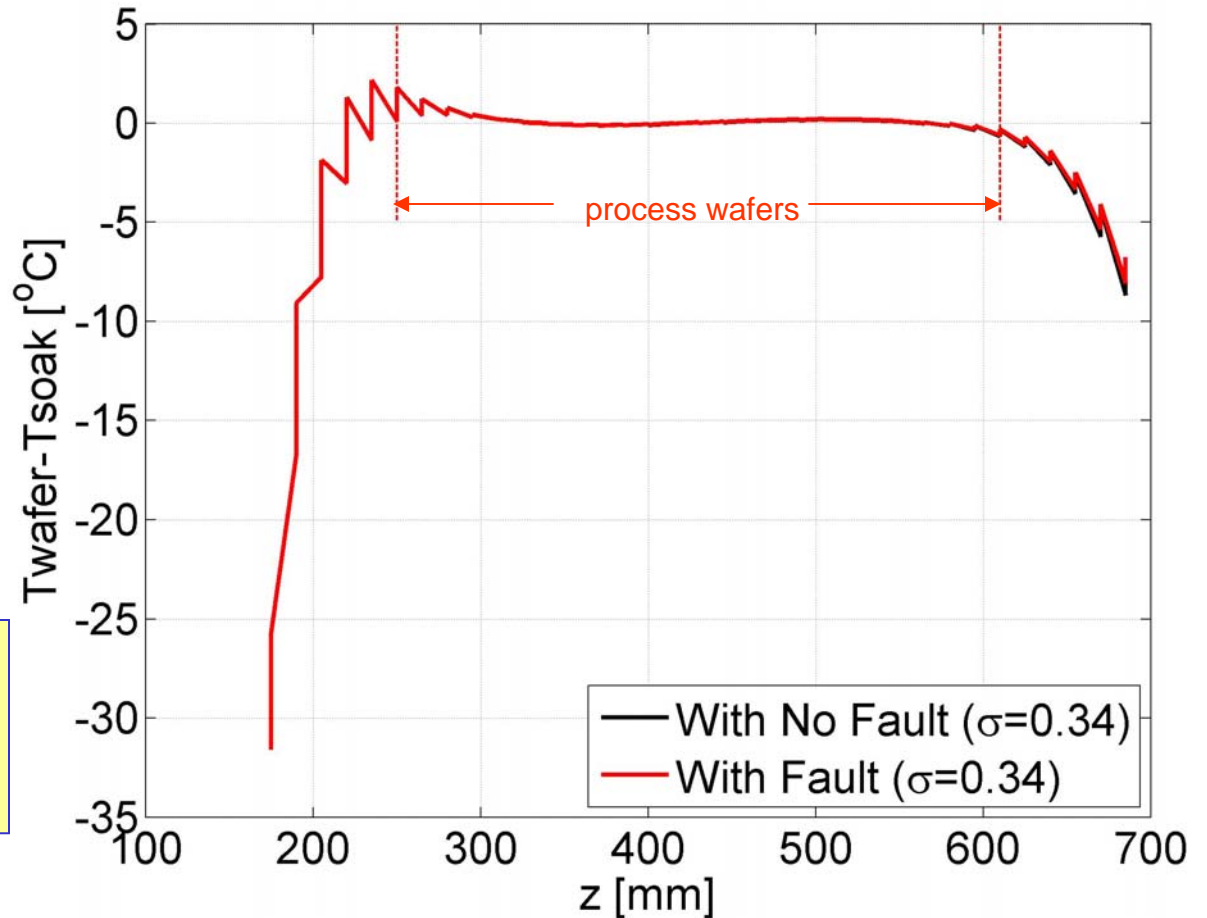


Failure Accommodation



Accommodation is very good for this situation. No change in σ .

Actual Wafer Process Temperature



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Summary

- ❑ **Developed detailed heat transfer model of furnace.**
 - *Realistic and complex radiation properties*
 - *Dynamic geometry (wafer stack position)*
 - *Fast, real-time model*

- ❑ **Developed model-based multivariable feedback controller.**
 - *Robust regulation and tracking*
 - *No overshoot*
 - *Good temperature uniformity*

- ❑ **Developed model based estimator**
 - *Use as virtual sensor to predict unmeasured temperatures.*
 - *Demonstrated use for sensor failure accommodation.*